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TO: Commissioner for Patents
Attn: Examiner Leslie L. Nguyen
Patent Examining Corps
Facsimile Center
Washington, D.C. 20231

FROM: George H. Gates
OUR REF.: 7603.01
TELEPHONE: (310) 642-4146

Total pages, including cover letter: 6

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Title of Document Transmitted:	INFORMATION DISCLOSURE STATEMENT AND FORM PTO-1449
Applicant:	Michael G. Coutts et al.
Serial No.:	09/884,523
Filed:	June 18, 2001
Group Art Unit:	2166
Our Ref. No.:	7603.01

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP.

By: George H. Gates
Name: George H. Gates
Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

George H. Gates
Signature

12/13/01
Date

GHG/sjm

G&C 30145.403-US-C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Michael G. Coutts et al.	Examiner:	Leslie L. Nguyen
Serial No.:	09/884,523	Group Art Unit:	2166
Filed:	June 18, 2001	Docket:	7603.01
Title:	TRANSACTION PROCESSING SYSTEMS		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on December 13, 2001.

By: George H. Gates
Name: George H. Gates

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ Information Disclosure Statement and Form PTO-1449.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: George H. Gates
Name: George H. Gates
Reg. No.: 33,500
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#3
12/26/01IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Michael G. Coutts et al.	Examiner:	Leslie L. Nguyen
Serial No.:	09/884,523	Group Art Unit:	2166
Filed:	June 18, 2001	Docket:	7603.01
Title:	TRANSACTION PROCESSING SYSTEMS		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being sent by facsimile to: Commissioner for Patents, Washington, D.C. 20231 on December 13, 2001.

By: 
Name: George H. Gates

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d)(2), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 09/229,045 filed on January 12, 1999.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to

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establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

Michael G. Coutts et al.

By their attorneys,

GATES & COOPER LLP
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: December 13, 2001

By: 

Name: George H. Gates
Reg. No.: 33,500
GHG/sjm

Date Sent by Facsimile: December 13, 2001

Sheet 1 of 1

Form 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	Docket Number: 7603.01	Application Number: 09/884,523
	Applicant: Michael G. Courts et al.	
	Filing Date: June 18, 2001	Group Art Unit: 2166

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,179,735	12/1979	Lodi			
	4,621,326	11/1986	Rawlins			
	4,660,168	04/1987	Grant			
	4,775,931 A	10/1998	Dickie et al.			
	4,917,792	04/1990	Murakami			
	4,922,419	05/1990	Ohashi et al.			
	5,537,626	07/1996	Kraslavsky et al.			
	5,659,801	08/1997	Kopsaftis			
	5,737,585	04/1998	Kaneshima			
	6,195,694 B1	02/2001	Chen et al.			
	6,196,456 B1	03/2001	Taylor			
FOREIGN PATENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	WO 9602034	01/1996	PCT			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
		M.W. Sachs et al., "Fibre Channel and Related Standards," IEEE Communications Magazine, 08/96, pp. 40-50				
		M.W. Sachs et al., "LAN and I/O Convergence: A Survey of the Issues," Computer, 12/94, pp. 24-32				

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EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

G&C 30145.403-US-C1